

05/29/01

J0971 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

862 C2238

First Named Inventor or Application Identifier

SHIGEYUKI UZAWA

Express Mail Label No.

J0971 U.S. PTO

09/865454

05/29/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1 ☐ Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
- 2 ☐ Applicant claims small entity status
See 37 CFR 1.27
- 3 ☐ Specification *Total Pages*
- 4 ☐ Drawing(s) (35 USC 113) *Total Sheets*
- 5 ☐ Oath or Declaration *Total Pages*
- a ☒ Newly executed (original or copy)
- b ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b)
- 6 ☐ Application Data Sheet See 37 CFR 1.76

ADDRESS TO:

 Commissioner for Patents
 Box Patent Application
 Washington DC 20231

- 7 ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8 ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a ☐ Computer Readable Form (CRF)
- b ☐ Specification Sequence Listing on
- i ☐ CD-ROM or CD-R (2 copies); or
- ii ☐ paper
- c ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☒ Assignment Papers (cover sheet & document(s)) ☒
- 10 ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 ☐ Citations
- 13 ☐ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16 ☐ Other _____

17 If a CONTINUING APPLICATION, check appropriate box and supply the requisite information

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
 Prior application information Examiner _____ Group/Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18 CORRESPONDENCE ADDRESS

05514

☒ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	38-20 =	18	* \$ 18 00 =	\$ 324 00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	10-3 =	7	* \$ 80 00 =	\$ 560 00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270 00 =	\$ 0 00
				BASIC FEE (37 CFR 1.16(a))	\$ 710 00
			Total of above Calculations =		\$ 1594 00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				
	TOTAL =				\$ 1594 00

19 Small entity status


- a ☐ A small entity statement is enclosed
- b ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired
- c ☐ is no longer claimed

20 ☒ A check in the amount of \$ 1594 00 to cover the filing fee is enclosed

21 ☒ A check in the amount of \$ 40 00 to cover the recordal fee is enclosed.

22 The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205

- a ☒ Fees required under 37 CFR 1.16
- b ☐ Fees required under 37 CFR 1.17
- c ☐ Fees required under 37 CFR 1.18

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Steven E. Warner - Reg No 33,326
SIGNATURE	
DATE	May 29, 2001